

GP2681

TRANSMITTAL LETTER			Case No. 8285/508
Serial No. 09/683,331	Filing Date December 14, 2001	*Examiner Not Yet Assigned	Group Art Unit 2681
Inventor(s) SURYANARAYANA			
Title of Invention VOICE REVIEW OF PRIVACY POLICY IN A MOBILE ENVIRONMENT			

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ATENT & TRADEMARK OFFICE  
APR 15 2002

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 13 U.S. References; Other Art Reference; Postcard Receipt.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- The fee has been calculated as shown below:

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	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
Rate	Add'l Fee	Rate	Add'l Fee
x \$9 =		x \$18 =	\$
x 42 =		x \$84 =	
+ \$140 =		+ \$280 =	
Total add'l fee	\$	total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

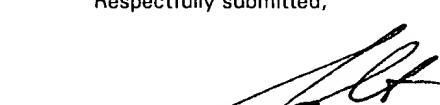
Respectfully submitted,

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Joseph E. Hertz  
Registration No. 41,070  
Attorney for Applicant



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 9, 2002.



Date: April 9, 2002 Signature: \_\_\_\_\_

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 9, 2002

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070Name of Applicant, Assignee or  
Registered Representative

Signature

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Our Case No. 8285-508

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Suryanarayana	)	
	)	Group Art Unit: 2681
Serial No.: 09/683,331	)	
	)	
Filed: December 14, 2001	)	
	)	
For: Voice Review of Privacy Policy	)	
in a Mobile Environment	)	

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicant hereby submits documents A1-A14 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of these documents are enclosed herewith. The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

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Applicant reserves the right to contest that any of these documents is prior art against the present application.

Dated: April 9, 2002

Respectfully submitted,

  
Joseph F. Hietz  
Reg. No. 41,070  
Attorney for Applicant

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FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/683,331	CASE NO. 8285/508
	FILING DATE December 14, 2001	GROUP ART UNIT 2681
APR 15 2002	APPLICANT(S): SURYANARAYANA	

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A1	6,108,706	08/22/00	BIRDWELL ET AL.		
A2	6,125,376	09/26/00	KLARLUND ET AL.		
A3	6,185,573	02/06/01	ANGELOCCI ET AL.		
A4	6,199,077	03/06/01	INALA ET AL.		
A5	6,233,566	05/15/01	LEVINE ET AL.		
A6	6,240,448	05/29/01	IMIELINSKI ET AL.		
A7	6,269,254	07/31/01	MATHIS		
A8	6,269,336	07/31/01	LADD ET AL.		
A9	6,275,693	08/14/01	LIN ET AL.		
A10	6,278,993	08/21/01	KUMAR ET AL.		
A11	6,285,877	09/04/01	LIU ET AL.		
A12	6,292,668	09/18/01	ALANARA ET AL.		
A13	6,298,045	10/02/01	PANG ET AL.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
A14	Roger Clarke's P3P Overview; "Platform for Privacy Preferences: An Overview"; <a href="http://www.anu.edu.au/people/Roger.Clarke/DV/P3POview.html">http://www.anu.edu.au/people/Roger.Clarke/DV/P3POview.html</a> ; (1998), 10 pages.	

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.